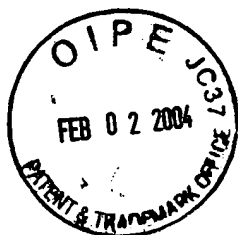


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shawn D. Stad, Christopher Rogers, Michael D. Sorrenti, and Patrick Fatyol  
Application No.: 10/616,506 Group: 3739  
Filed: July 8, 2003 Examiner: Not assigned  
Confirmation No.: 1774  
For: Attachment Mechanism for Surgical Instrument



CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
1-28-04	<i>Sharon G. Carlisle</i>
Date	Signature
Sharon G. Carlisle	
Typed or printed name of person signing certificate	

REQUEST FOR CORRECTED FILING RECEIPT  
FOR UTILITY APPLICATION

Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

We hereby request that the following item of information be corrected in the Filing Receipt for the subject application received in this office on January 13, 2004.

The error and correction appear below.

**In the Assignment For Published Patent Application section, please delete "DePuy AcroMed, Inc." and insert --DePuy Spine, Inc.--.**

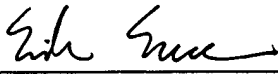
Enclosed are copies of the Filing Receipt with the change noted in red and a copy of the Assignment.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for

correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

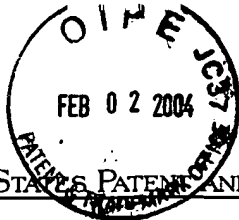
Erik L. Ence

Registration No.: 42,511

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Date: January 28, 2004  
Concord, Massachusetts 01742-9133



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/616,506	07/08/2003	3739	1076	3518.1013-000	6	25	4

CONFIRMATION NO. 1774

## UPDATED FILING RECEIPT



\*OC000000011652987\*

021005  
 HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  
 530 VIRGINIA ROAD  
 P.O. BOX 9133  
 CONCORD, MA 01742-9133

Date Mailed: 01/09/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Shawn D. Stad, Fall River, MA;  
 Christopher Rogers, Taunton, MA;  
 Michael D. Sorrenti, Middleboro, MA;  
 Patrick Fatyol, Whitman, MA;

## Assignment For Published Patent Application

DePuy AcroMed, Inc., Raynham, MA; *DePuy Spine, Inc.*

Domestic Priority data as claimed by applicant

Foreign Applications

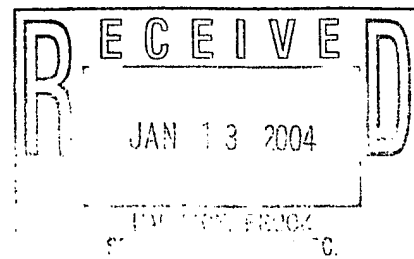
If Required, Foreign Filing License Granted: 10/02/2003

Projected Publication Date: 01/13/2005

Non-Publication Request: No

Early Publication Request: No

Title



Attachment mechanism for surgical instrument

**Preliminary Class**

606

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

ASSIGNMENT**COPY**Joint

WHEREAS, we, **Shawn D. Stad, Christopher Rogers, Michael D. Sorrenti, and Patrick Fatyol**, have invented a certain improvement in **ATTACHMENT MECHANISM FOR SURGICAL INSTRUMENT** described in an application for Letters Patent of the United States, the specification of which:

☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;

☒ was filed on **July 8, 2003** as Application No. **10/616,506**;

☐ was patented under U.S. Patent No. ☐ on ☐.

WHEREAS, **DePuy Spine, Inc.** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Ohio**, and having a usual place of business at **325 Paramount Drive, Raynham, Massachusetts 02767-0350** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: \_\_\_\_\_

Shawn D. Stad

State/Commonwealth \_\_\_\_\_

of \_\_\_\_\_

County of \_\_\_\_\_

Then personally appeared before me the above-named **Shawn D. Stad** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 28<sup>th</sup> day of October, 2003.

(SEAL)

Patricia A. Hubler Notary Public

Patricia A. Hubler (print name)

My Commission expires 08 / 09 / 2007

Inventor's Signature: \_\_\_\_\_

Christopher Rogers  
Christopher Rogers

State/Commonwealth

of \_\_\_\_\_

County of \_\_\_\_\_

Then personally appeared before me the above-named **Christopher Rogers** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 29<sup>th</sup> day of October, 2003.

(SEAL)

Patricia A. Hubler Notary Public  
Patricia A. Hubler (print name)  
My Commission expires 08/09/2007

Inventor's Signature: \_\_\_\_\_

Michael D. Sorrenti  
Michael D. Sorrenti

State/Commonwealth

of \_\_\_\_\_

County of \_\_\_\_\_

Then personally appeared before me the above-named **Michael D. Sorrenti** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 27<sup>th</sup> day of October, 2003.

(SEAL)

Patricia A. Hubler Notary Public  
Patricia A. Hubler (print name)  
My Commission expires 08/09/2007

Inventor's Signature: \_\_\_\_\_

Patrick Fatyol

Patrick Fatyol

State/Commonwealth

of \_\_\_\_\_

County of \_\_\_\_\_

Then personally appeared before me the above-named **Patrick Fatyol** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 27th day of October 2003.

(SEAL)

Patricia A. Hubler  
Patricia A. Hubler

Notary Public

(print name)

My Commission expires 08/09/2007